

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**102126--(320) (4)** #3

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**10/018,278**

INTERNATIONAL APPLICATION NO.  
**PCT/DE00/01798**

INTERNATIONAL FILING DATE  
**31 May 2000 (31.05.00)**

PRIORITY DATE CLAIMED  
**17 June 1999 (17.06.99)**

**TITLE OF INVENTION**

**Method and Device for Demounting/Remounting Hammers, Hammer Axles and/or Protective Caps of Rotors of Hammer Crushers**

**APPLICANT(S) FOR DO/EO/US**

**Martin HASSLER**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

**Copy of Notification of Missing Requirements**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>10/018,278</b>	INTERNATIONAL APPLICATION NO. <b>PCT/DE00/01798</b>	ATTORNEY'S DOCKET NUMBER <b>102126--(320) (4)</b>
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24. The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1040.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$740.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	- 20 =	0	x \$18.00		<b>\$0.00</b>				
Independent claims	- 3 =	0	x \$84.00		<b>\$0.00</b>				
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>					<b>\$0.00</b>				
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$130.00</b>				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					<b>\$65.00</b>				
<b>SUBTOTAL =</b>					<b>\$65.00</b>				
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					<b>\$130.00</b>				
<b>TOTAL NATIONAL FEE =</b>					<b>\$195.00</b>				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>					<b>\$40.00</b>				
<b>TOTAL FEES ENCLOSED =</b>					<b>\$235.00</b>				
<b>04/08/2002 HNGUYEN 00000018 141263 10018278</b> <b>01 FC:254 65.00 CH</b> <b>02 FC:156 130.00 CH</b>					<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Amount to be: refunded</td> <td style="width:50%;">\$</td> </tr> <tr> <td>charged</td> <td>\$</td> </tr> </table>	Amount to be: refunded	\$	charged	\$
Amount to be: refunded	\$								
charged	\$								

**CALCULATIONS PTO USE ONLY**

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 14-1263 in the amount of \$235.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1263. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**Christa Hildebrand PhD.**  
**Norris, McLaughlin & Marcus P.A.**  
**220 East 42nd Street, 30th Floor**  
**New York, NY 10017**  
**Telephone: 212-808-0700**  
**Facsimile: 212-808-0844**

*Christa Hildebrand*

SIGNATURE

**Christa Hildebrand**

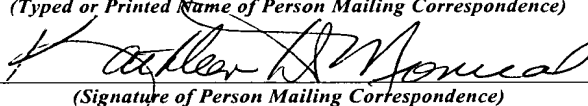
NAME

**34,953**

REGISTRATION NUMBER

**April 2, 2002**

DATE

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Martin HASSLER</b>			Docket No. <b>102126--(320) (4)</b>	
Serial No. <b>10/018,278</b>	Filing Date <b>Dec. 14, 2001</b>	Examiner <b>TBA</b>	Group Art Unit <b>TBA</b>	
Invention: <b>Method and Device for Demounting/Remounting Hammers, Hammer Axles and/or Protective Caps of Rotors of Hammer Crushers</b>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px;"><p><b>Response to Notification of Missing Requirements enclosing transmittal letter authorizing payment of fees to deposit account no. 14-1263; copy of Notice, declaration and power of attorney, translation of PCT application and drawings; assignment with cover sheet; preliminary amendment with marked-up copy of amended specification; postcard</b></p></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u><b>April 2, 2002</b></u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u><b>Kathleen D. Monical</b></u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p><u><b>EV 015942500 US</b></u> <i>("Express Mail" Mailing Label Number)</i></p></div>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>				